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35618 U.S. PTO
10/023405
12/14/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of)	
Stephen C. Wardlaw)	
for APPARATUS AND METHOD FOR)	Parent Appl. Examiner: <u>B. Sines</u>
ANALYZING BIOLOGIC FLUIDS)	
Serial No.: <u>10/023405</u>)	G.A.U. of Parent Appl. 1743
<u>Simultaneously herewith</u>)	
Divisional of Serial No. 09/255,673 filed)	
on February 23, 1999)	
Filed: <u>12-14-01</u>)	Our Docket No: 5169-04-1-1
<u>Simultaneously herewith</u>)	

Hartford, Connecticut, December 14, 2001

Assistant Commissioner
for Patents
Washington, D. C. 20231

INFORMATION DISCLOSURE STATEMENT

S I R:

Applicant(s) submits herewith Form PTO/SB/08A identifying patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Under 37 CFR 1.97 (b):

☒ This Information Disclosure Statement is being filed within three months of the filing date of the application, or the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Under 37 CFR 1.97 (c):

☐ This Information Disclosure Statement is being filed *after* three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

☐ A certification as specified in 37 CFR 1.97(e) is set forth below.

☐ Fee as set forth in 37 CFR 1.17(p) (\$240.00).

Under 37 CFR 1.97(d):

☐ This Information Disclosure Statement is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A statement as specified in 37 CFR 1.97(e) is set forth below.

☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00)

STATEMENT PURSUANT TO 37 CFR 1.97(e)

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the relevant search report is enclosed herewith.

[] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,

By Richard D. Getz
Richard D. Getz, Reg. No. 36,147
Attorney for Applicant

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Attachment (PTO-SB/08A)

Please type a plus sign (+) inside this box →

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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<p>Substitute for form 1449A/PTO</p> <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>				Complete if Known	
Application Number		New			
Filing Date		HEREWITH			
First Named Inventor		STEPHEN C. WARDLAW			
Group Art Unit		Not Yet Known			
Examiner Name		Not Yet Known			
Attorney Docket Number		5169-04-1-1			
Sheet	1	of	2		

JC618 U.S. PTO
10/023405
12/14/01

U.S. PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		3,883,247		Adams	5/13/1975	
		3,895,661		Praglin, et al	07/1975	
		3,916,205		Kleinerman	10/28/1975	
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		5,362,648		Koreyasu, et al	11/1994	
		5,397,479		Kass, et al.	03/14/1995	

FOREIGN PATENT DOCUMENTS								
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	New
				Filing Date	HEREWITH
				First Named Inventor	STEPHEN C. WARDLAW
				Group Art Unit	Not Yet Known
				Examiner Name	Not Yet Known
				Attorney Docket Number	5169-04-1-1
Sheet	2	of	2		

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		Number	Kind Code ² (if known)			
		5,427,959		Nishimura et al.	06/27/1995	
		5,482,829		Kass et al.	01/09/1996	
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		6,022,734		Wardlaw	02/2000	
		6,106,778		Oku et al.	08/2000	

[illegible]

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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